

F/MIS COMPLIANCE

Offers a solution to comply with the new ordinance on money laundering (LBA) through an application, which controls the transaction cycles and outlines clients at risk.

BEARINGPOINT AND ITS NEW F/MIS SOFTWARE

The originality of F/MIS consists in offering standard software for Management Information at a competitive price for private banks, composed of various modules (F/MIS profitability, accounting, interest margin, compliance, customer visit report...).

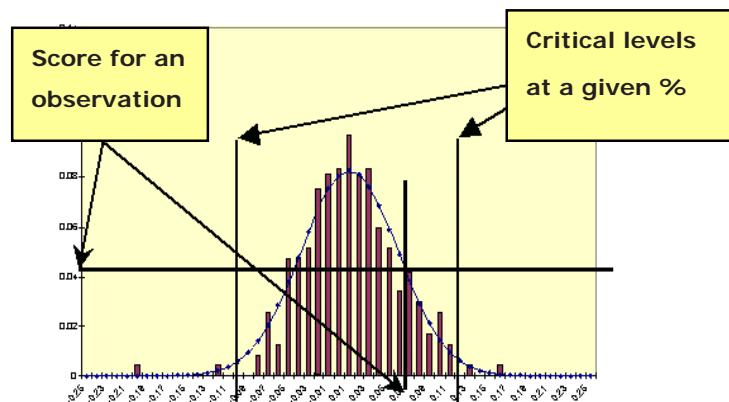
For an easier integration F/MIS covers the entire cycle of production of decisional information, i.e. extraction of data from the legacy systems, organization in a database, computation of indicators up to the reporting.

With more than 200 banks as clients in Switzerland, in Luxembourg, in Germany and in Italy, BearingPoint also offers reporting software for private banks in the legal (F/RE) and fiscal (F/TAX QI and EU / Easy-tax) area.

FUNCTIONALITIES OF F/MIS COMPLIANCE

Through a scoring system F/MIS Compliance highlights clients with accrued risks (type of activity, domicile in a risk country,...) and controls the transaction cycles (e.g.: volume and frequency of unusual transactions compared to similar business relations).

F/MIS Compliance uses the directives and the money laundering indicators of the MLO-SFBC and considers the latest communications of the SFBC.



F/MIS Compliance uses statistical analyses of frequency and amounts to control transactions. The compliance rules are already defined in the system and can be easily parameterized. An alert system highlights clients and transactions at risk and offers a workflow to the compliance officer and the various participants of the bank to follow up cases. An update of clients and relations can be done in order to complete the database information (for example by adding access authorizations). Everything is available through an intranet application.

The customer visit module offers an excellent combination with the compliance module.

THE STRONG POINTS OF F/MIS COMPLIANCE

- Easy parameterization of the compliance rules;
- Easy web-based installation;
- The Compliance module is integrated in an MIS that can be used by other modules;
- Already integrated with several banking packages.

WHO IS THE TARGET OF F/MIS COMPLIANCE

The F/MIS compliance software is targeted at users who follow up the risks identified by the new ordinance: the compliance officer and the internal auditor.

Other users can also benefit from this module: the head office, the front office executives and the asset managers.

READY TO USE FUNCTIONALITIES

- Update and completion of information on clients and relations;
- Computation of probability of the occurrence of events (transactions, movements);
- Scoring per client;
- Identification of clients with accrued risks;
- Treatment of warnings;
- Inquiry on various detailed reports.

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